

Attorney's Docket No. W-3908**PATENT****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**☒ In re application of: ANGEL A. GARGIULO

Group No.

Serial No.: 0 /

Examiner:

Filed:

For: * GRAPEVINE "90-3437" Issued :

☐ Patent No.:

*NOTE: Insert name(s) of inventor(s) and title also for patent. Where submission is with respect to a maintenance fee payment also insert application serial number and filing date and mark Form Box M. Fee.

Commissioner of Patents and Trademarks
Washington, D.C. 20231

**SUBMISSION OF VERIFIED STATEMENT(S) TO ESTABLISH
SMALL ENTITY STATUS**

The attached statement is being submitted to establish small entity status in this

☒ application☐ patent

by the:

(check all applicable boxes below)

- a. ☒ independent inventor(s) 37 CFR 1.9(c) and 1.27(b)
b. ☒ non-inventor supporting claim by another 37 CFR 1.9(c) and 1.27(b)

CERTIFICATE OF MAILING/TRANSMISSION (37 CFR 1.8a)

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Signature

Rodney K. Worrel

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(Submission of Verified Statement(s) to Establish Small Entity Status[7-11])

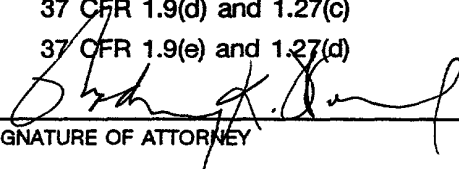
(Submission of Verified Statement(s) to Establish Small Entity Status[7-11])

c. ☐ small business concern

37 CFR 1.9(d) and 1.27(c)

d. ☐ non-profit organization

37 CFR 1.9(e) and 1.27(d)


SIGNATURE OF ATTORNEY

Reg. No. 27,475

Rodney K. Worrel

(Type or print name of attorney)

Tel. No. (559) 431-4391

St. Croix Professional Center
2109 W. Bullard Avenue, Suite 121

(P.O. Address)

Fresno, California 93711-1258

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**VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) & 1.27(b))—INDEPENDENT INVENTOR**

Docket Number (Optional)

W-3908

Applicant or Patentee: ANGEL A. GARGIULO

Application or Patent No.: _____

Filed or Issued: _____

Title: GRAPEVINE "90-3437"

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees to the Patent and Trademark Office described in:

- ☒ the specification filed herewith with title as listed above.
☐ the application identified above.
☐ the patent identified above.

I have not assigned, granted, conveyed, or licensed, and am under no obligation under contract or law to assign, grant, convey, or license, any rights in the invention to any person who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern, or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- ☐ No such person, concern, or organization exists.
☒ Each such person, concern, or organization is listed below.
LUIS M. CARATAN
Route 2, Box 258
Delano, California 93215, U.S.A.

Separate verified statements are required from each named person, concern, or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

ANGEL A. GARGIULO

NAME OF INVENTOR

NAME OF INVENTOR

NAME OF INVENTOR

Signature of inventor

Signature of inventor

Signature of inventor

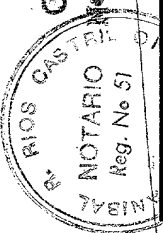
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Correspondence collection notarial - TRECBO - T645E660



PATENT

Applicant or Patentee: ANGEL A. GARGIULO

Serial or Patent No.: 0 /

Filed or Issued:

For: GRAPEVINE "90-3437"

**VERIFIED STATEMENT (DECLARATION) BY A NON-INVENTOR
SUPPORTING A CLAIM BY ANOTHER FOR SMALL ENTITY STATUS**

I hereby declare that I am making this verified statement to support a claim by

LUIS M. CARATAN

for small entity status for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, with regard to the invention entitled _____

GRAPEVINE "90-3437"

ANGEL A. GARGIULO

by inventor(s)

described in

- ☒ the specification filed herewith.
- ☐ application serial no. 0 / _____, filed _____.
- ☐ patent no. _____, issued _____.

I hereby declare that I would qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying fees under Section 41(a) and (b) of Title 35, United States Code, if I had made the above identified invention.

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- ☒ no such person, concern, or organization
☐ persons, concerns or organizations listed below*

***NOTE:** Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27).

FULL NAME

ADDRESS

- ☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

FULL NAME

ADDRESS

- ☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

FULL NAME _____

ADDRESS _____

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING LUIS M. CARATAN

ADDRESS OF PERSON SIGNING Route 2, Box 258
Delano, California 93215

SIGNATURE Luis M. Caratan **Date** 7/14/01

(Small Entity—Non-Inventor Supporting Claim [7-2]—page 2 of 2)